

EXHIBIT A

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008¹

NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

October 19, 2009

SIDNEY HOROWITZ (IRA)
c/o Grace Horowitz
[REDACTED]
[REDACTED]

Dear SIDNEY HOROWITZ (IRA):

PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim on BLMIS Account No. 1CM408 designated as Claim Number 010209:

Your claim for securities is **DENIED**. No securities were ever purchased for your account.

Further, based on the Trustee's analysis, the amount of money you withdrew from your account at BLMIS (total of \$903,360.61), as more fully set forth in Table 1 annexed hereto and made a part hereof, is greater than the amount that was deposited with BLMIS for the purchase of securities (total of \$602,707.71). As noted, no securities were ever purchased by BLMIS for your account. Any and

¹ Section 78III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78III(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

all profits reported to you by BLMIS on account statements were fictitious.

Since there were no profits to use either to purchase securities or to pay you any money beyond the amount that was deposited into your BLMIS account, the amount of money you received in excess of the deposits in your account (\$300,652.90) was taken from other customers and given to you. Accordingly, because you have withdrawn more than was deposited into your account, you do not have a positive "net equity" in your account and you are not entitled to an allowed claim in the BLMIS liquidation proceeding. Therefore, your claim is **DENIED** in its entirety.

Should a final and unappealable court order determine that the Trustee is incorrect in his interpretation of "net equity" and its corresponding application to the determination of customer claims, the Trustee will be bound by that order and will apply it retroactively to all previously determined customer claims in accordance with the Court's order. Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by you in having your customer claim re-determined in accordance with any such Court order.

Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by the Trustee against you.

PLEASE TAKE NOTICE: If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court **and** the Trustee within **THIRTY DAYS** after October 19, 2009, the date on which the Trustee mailed this notice.

PLEASE TAKE FURTHER NOTICE: If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

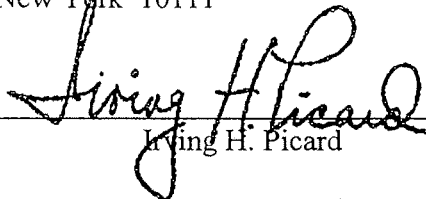
PLEASE TAKE FURTHER NOTICE: If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

PLEASE TAKE FURTHER NOTICE: You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for
the Southern District of New York
One Bowling Green
New York, New York 10004

and

Irving H. Picard, Trustee
c/o Baker & Hostetler LLP
45 Rockefeller Plaza
New York, New York 10111


Irving H. Picard

Trustee for the Liquidation of the Business of
Bernard L. Madoff Investment Securities LLC

cc: Carole Neville
Sonnenschein Nath & Rosenthal LLP
1221 Avenue of the Americas
25th Floor
New York, NY 10020

cc: NTC & Co. FBO Sidney Horowitz (46854)



- Table 1 -		
DEPOSITS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
8/20/1996	CHECK	\$602,707.71
Total Deposits:		\$602,707.71
WITHDRAWALS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
2/11/1997	CHECK	(\$35,000.00)
7/7/1997	CHECK	(\$35,000.00)
1/5/1998	CHECK	(\$35,000.00)
7/7/1998	CHECK	(\$35,000.00)
1/7/1999	CHECK	(\$35,000.00)
8/2/1999	CHECK	(\$35,000.00)
1/13/2000	CHECK	(\$35,000.00)
7/25/2000	CHECK	(\$35,000.00)
12/27/2000	CHECK	(\$35,000.00)
6/29/2001	CHECK	(\$35,000.00)
12/27/2001	CHECK	(\$35,000.00)
6/25/2002	CHECK	(\$35,000.00)
12/24/2002	CHECK	(\$35,000.00)
6/26/2003	CHECK	(\$35,000.00)
12/29/2003	CHECK	(\$35,000.00)
6/28/2004	CHECK	(\$35,000.00)
1/4/2005	CHECK	(\$35,000.00)
8/3/2005	CHECK	(\$35,000.00)
2/17/2006	CHECK	(\$70,010.00)
11/2/2006	CHECK	(\$100,000.00)
1/10/2007	CHECK	(\$3,288.81)
9/24/2007	CHECK	(\$51.80)
1/18/2008	CHECK	(\$100,010.00)
Total Withdrawals:		(\$903,360.61)
Total deposits less withdrawals:		(\$300,652.90)

EXHIBIT B

COPY

CUSTOMER CLAIM

Claim Number _____

Date Received _____

RECEIVED

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

JUN 18 2009

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: _____

HOME: _____

Taxpayer I.D. Number (Social Security No.)



1CM408

SIDNEY HOROWITZ

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of December 11, 2008:
- a. The Broker owes me a Credit (Cr.) Balance of \$ _____
 - b. I owe the Broker a Debit (Dr.) Balance of \$ _____

- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, **it must be enclosed**
with this claim form. \$ _____

- d. If balance is zero, insert "None." _____ NONE

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | YES
_____ | NO
_____ |
|---|----------------|---------------------|
| a. The Broker owes me securities | ✓
_____ | _____
_____ |
| b. I owe the Broker securities | _____
_____ | _____
✓
_____ |
| c. If yes to either, please list below: | | |

		Number of Shares or Face Amount of Bonds	
Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
<u>SEE STATEMENT DATED NOVEMBER 30, 2008</u>		✓ _____	_____ _____
_____	_____	_____ _____	_____ _____
_____	_____	_____ _____	_____ _____
_____	_____	_____ _____	_____ _____
_____	_____	_____ _____	_____ _____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

- | | | <u>YES</u> | <u>NO</u> |
|----|--|------------|-----------|
| 3. | Has there been any change in your account since December 11, 2008? If so, please explain. | _____ | _____✓ |
| 4. | Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker? | _____ | _____✓ |
| 5. | Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____ | _____✓ |
| 6. | Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s) | _____ | _____✓ |
| 7. | Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming. | _____ | _____✓ |
| 8. | Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers. | _____ | _____✓ |

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. _____ ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Carole Neville, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25th Floor, New York, NY 10020.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. *

Date 6/17/09 Signature *Grace Horowitz*
Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend this form for any purpose, including, without limitation, to add interest, costs and other losses associated with this account.

ADDENDUM

Customer: Sidney Horowitz

Address: c/o Grace Horowitz
[REDACTED]
[REDACTED]

Account #: 1-CM408-3
1-CM408-4

November 30, 2008 Statement – Closing balance net equity \$1,105,650.67

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York - London

DUPLICATE FOR ACCOUNT NTC & C.J.
SIDNEY HOROWITZ

Attendant with
Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel: 020 7493 6222

PERIOD ENDING 11/30/09
YOUR ACCOUNT NUMBER 1-CM403-3-0
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]
PAGE 1

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	598		2418	BALANCE FORWARD		65,501.33	
11/12	391		2920	WAL-MART STORES INC	55.830	33,409.34	
11/12	1,449		6744	INTERNATIONAL BUSINESS MACHS	82.270	34,137.57	
11/12	1,587		7246	EXXON MOBIL CORP	72.890	105,660.12	
11/12	759		11572	INTEL CORP	14.510	23,090.37	
11/12	1,035		15898	JOHNSON & JOHNSON	59.580	45,251.22	
11/12	552		20223	J.P. MORGAN CHASE & CO	38.530	39,919.53	
11/12	322		24549	COCA COLA CO	44.660	24,674.32	
11/12	598		28875	MCDONALDS CORP	55.370	17,841.14	
11/12	2,185		33201	MERCK & CO	28.550	17,095.90	
11/12	1,104		37527	MICROSOFT CORP	21.810	47,741.85	
11/12	437		30505	ORACLE CORPORATION	17.300	19,143.20	
11/12	253		51007	PEPSICO INC	24.653.17	24,653.17	
11/12	1,863		54831	APPLE INC	25,507.34	25,507.34	
11/12	437		55333	PFIZER INC	16.940	31,633.22	
11/12	828		59157	ABBOTT LABORATORIES	54.610	23,881.37	
11/12	299		59659	PROCTER & GAMBLE CO	64.080	53,091.24	
11/12	575		63483	ANGEN INC	59.160	17,699.84	
11/12	1,380		63985	PHILLIP MORRIS INTERNATIONAL	43.600	25,093.09	
11/12	460		67809	BANK OF AMERICA	21.590	29,949.20	
11/12	1,495		68311	QUALCOMM INC	33.770	15,552.29	
11/12	345		72135	CITI GROUP INC	12.510	10,761.45	
11/12	829		72637	SCHLUMBERGER LTD	49.480	17,083.60	
11/12				COMCAST CORP	16.510	13,703.23	
11/12				CL A			
CONTINUED ON PAGE 2							

Affiliated with
Maddoff Securities International Limited
12 Berkeley Street
Maritime, London W1J 8BT
Tel 020 7493 6222

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

DUPLICATE FOR ACCOUNT NTC & CO.
SIDNEY HOROWITZ

PERIOD ENDING
11/30/03

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YOUR ACCOUNT NUMBER
1-CM403-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
[REDACTED]

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	1,533		76461	AT&T INC	27	41,156.00	
11/12	414		76963	CONOCOPHILIPS	52.510	21,755.14	
11/12	276		80787	UNITED PARCEL SVC INC	52.040	14,374.04	
				CLASS B		23,156.67	
11/12	1,679		81289	CISCO SYSTEMS INC	16.730	14,281.99	
11/12	483		85113	U S BANCORP	29.530	42,245.25	
11/12	575		85615	CHEVRON CORP	73.430	14,683.16	
11/12	276		89439	UNITED TECHNOLOGIES CORP	53.160	57,455.23	
11/12	2,921		89941	GENERAL ELECTRIC CO	19.630	23,811.62	
11/12	782		93765	VERIZON COMMUNICATIONS	30.410	23,282.60	
11/12	69		94267	GOOGLE	337.400	24,108.00	
11/12	69		98091	WELLS FARGO & CO NEW	29.800		1,049,328.00
11/12	966		98091	HEWLETT PACKARD CO	34.900		42.59
11/12	690		98593	U S TREASURY BILL	99.936		35,893.00
11/12		1,050,000	20704	DUE 2/12/2009			
				2/12/2009			
11/12				FIDELITY SPARTAN	DIV		
				U S TREASURY MONEY MARKET			
				DIV 11/12/08			
11/12		35,893	15802	FIDELITY SPARTAN	1	38,993.00	
				U S TREASURY MONEY MARKET			
11/12			25171	FIDELITY SPARTAN	1		
				U S TREASURY MONEY MARKET			
11/19	38,993			FIDELITY SPARTAN			4.73
				U S TREASURY MONEY MARKET			
				DIV 11/19/08			
				CONTINUED ON PAGE 3			

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York C London

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

***DUPLICATE** FOR ACCOUNT NTC & CO.
SIDNEY HOROWITZ

associated with
Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DF
Tel 020 7493 6000

PERIOD ENDING
11/30/09

PAGE
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YOUR ACCOUNT NUMBER
1-CM409-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		38,993	50431	FIDELITY SPARTAN	1		38,993.00
11/19	100,000		55065	U S TREASURY MONEY MARKET U S TREASURY BILL DUE 03/26/2009	99.926	99,926.00	
11/19	7,979		59470	FIDELITY SPARTAN U S TREASURY MONEY MARKET NEW BALANCE	1	7,979.03	
	1,633 437 299 253 1,380 575 1,679 1,495 552 828			SECURITY POSITIONS AT&T INC ABBOTT LABORATORIES AMGEN INC APPLE INC BANK OF AMERICA CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC COCA COLA CO COMCAST CORP CL A	MKT PRICE 28.560 52.390 55.540 92.670 16.250 79.010 16.540 8.290 46.870 17.340	122,753.04	
	414 1,449 2,921			CONOCOPHILIPS EXXON MOBIL CORP GENERAL ELECTRIC CO	52.520 80.150 17.170		
				CONTINUED ON PAGE 4			

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

DUPLICATE FOR ACCOUNT NTC & CO.
SIDNEY HOROWITZ

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

Associated with
Madoff Securities International Limited
12 Berkeley Street
Manhattan, London W1J 8DT
Tel (212) 393 6222

PERIOD ENDING
11/30/01

PAGE
4

YOUR ACCOUNT NUMBER
1-0408-7-0

YOUR TAX PAYER IDENTIFICATION NUMBER
[REDACTED]

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	69			GOOGLE	292.960		
	690			HEWLETT PACKARD CO	35.280		
	1,587			INTEL CORP	13.800		
	391			INTERNATIONAL BUSINESS MACHS	91.600		
	1,035			J.P. MORGAN CHASE & CO	31.660		
	759			JOHNSON & JOHNSON	58.530		
	322			MCDONALDS CORP	58.750		
	598			MERCK & CO	26.720		
	2,185			MICROSOFT CORP	20.220		
	1,104			ORACLE CORPORATION	16.090		
	437			PEPSICO INC	56.700		
	1,863			PFIZER INC	16.430		
	575			PHILLIP MORRIS INTERNATIONAL	42.150		
	828			PROCTER & GAMBLE CO	64.350		
	460			QUALCOMM INC	33.570		
	345			SCHLUMBERGER LTD	50.740		
	7,979			FIDELITY SPARTAN	1		
				U S TREASURY MONEY MARKET			
	483			U S BANCORP	26.980		
	276			UNITED PARCEL SVC INC	57.600		
	100,000			CLASS B	99.971		
				U S TREASURY BILL			
				DUE 03/26/2009			
				3/26/2009			
	276			UNITED TECHNOLOGIES CORP	48.530		
				CONTINUED ON PAGE 5			

Associated with
Maddoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 493 6222

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York - London

DUPLICATE FOR ACCOUNT NTC & CO.
SIDNEY HOROWITZ

PERIOD ENDING 11/30/09
YOUR ACCOUNT NUMBER 1-CM400-3-0
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]
PAGE 5

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	782 598 966			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW MARKET VALUE OF SECURITIES LONG 1,121,290.67 SHORT	22.630 55.830 28.890		

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1313
Fax (212) 838-4061

Madoff Securities International Limited
12 Berkeley Street
Boston, MA 02111
Tel 617 345 1222

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York - London

DUPLICATE FOR ACCOUNT NYC & CO.
SIDNEY HERSHMAN

PERIOD ENDING 11/30/03
YOUR ACCOUNT NUMBER 1-PM433-2-0
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]
PAGE 6

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			7,474.00 5,262,034.00

Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8HT
Tel 020 7493 6222

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1313
Fax (212) 838-4061

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

DUPLICATE FOR ACCOUNT NYC & CO.
SIDNEY HOROWITZ

PERIOD ENDING 11/30/09
YOUR ACCOUNT NUMBER 1-CM403-4-2
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]
PAGE 1

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			55,502.00
11/12	23		41853	S & P 100 INDEX NOVEMBER 460 CALL	15.800		36,317.00
11/19			45179	S & P 100 INDEX NOVEMBER 450 PUT	17.900	40,763.00	
11/19			32284	S & P 100 INDEX NOVEMBER 430 CALL	26		59,777.00
11/19	23		36609	S & P 100 INDEX DECEMBER 420 PUT	30	57,023.00	
11/19			40934	S & P 100 INDEX NOVEMBER 460 CALL	3	5,923.00	
11/19			45259	S & P 100 INDEX NOVEMBER 450 PUT	37		95,077.00
				NEW BALANCE			129,764.00
				SECURITY POSITIONS			
				S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
	23			S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			37,950.00
				SHORT			53,590.00